The US Securities and Exchange Commission (SEC) has proposed its comprehensive standard of conduct rules, forms and interpretation, which would:

- Require registered investment advisers and registered broker-dealers to provide a brief relationship summary to retail investors
- Establish a standard of conduct for broker-dealers when making a recommendation of any securities transaction or investment strategy involving securities to a retail customer
- Provide an interpretation regarding the fiduciary standard of conduct for investment advisers

Join Eversheds Sutherland for a webcast on May 8 as we help you analyze the proposed rules, forms and interpretation and dive into the many practical considerations that arise from the proposal, including:

- How does a broker-dealer standard of conduct differ from an adviser’s fiduciary obligations?
- What operational issues arise with the required disclosures?
- What will advisers need to do to satisfy the SEC’s fiduciary standard of conduct?