Since at least 1972, settling with the SEC has meant that defendants and respondents would neither admit nor deny wrongdoing. Public pressure fueled by judicial criticism of allowing defendants in SEC cases not to admit wrongdoing has caused the SEC’s new leadership to rethink its historic policy and require admissions of wrongdoing in certain cases.

In their article for Partnering Perspectives magazine titled “Settling with the SEC: There’s a New Sheriff in Town,” Sutherland attorneys Olga Greenberg and Katherine Kelly discuss the new policy, recent settlements and potential implications of admissions.
Settling with the SEC: There's a New Sheriff in Town

continued